Regime of Archipelagic States By: Prof. Dr. Hasjim Djalal, MA*

For more than 100 years Indonesia has been preoccupied with the problems of national unity and making use of its enormous natural resources for the benefits of economic development of its population. For a number of centuries its natural resources had been used mainly for the benefits of invaders. Foreign occupiers in most cases, in order to do that, had been antagonizing one component of Indonesia to another following the maxim of "divide et empera", meaning "divide and rule". Indonesian social fabrics themselves provided opportunities to this foreign strategic policy in the sense that Indonesia, consisting of thousands of islands having hundreds of ethnics groups separated by thousand of islands, multi-religions, and different conditions of economic development, demographic as well as geographic differences. Therefore, from the very beginning of its efforts to free itself from foreign occupation, domination and yoke, to achieve and actualize the principle of national unity through diversity, or Bhinekka –Tunggal-Ika, has been one of its fundamental struggle within the last hundred years or so.

The struggle gained its momentum during the birth of "National Awareness" in 1908, reaching its bloom around 1917 with the birth of "Political Consciousness" through the establishment of numerous political parties, either with religious color, nationalist or even socialist orientation. The political awareness came to fruition with the Youth Oath in 1928 when the Youth Congress in Jakarta, attended by youth organizations from all over Indonesia, pledged their loyalty to One Nation, namely Indonesian Nation, one Country, namely Indonesia, and one Language, namely Bahasa Indonesia. Despite the existing multi groups, religions, ethnics, and ideologies in the country of thousands of islands, through that oath, the nationhood and the national unity of Indonesia was born and strengthened.

Yet, it took the Second World War and 17 years later for the Youth Oath to achieve independence in **one state** through the Declaration of Independence in August 17, 1945. After more than 4 years of war for independence and thousands of

Indonesians sacrificed themselves for the National Independence and the establishment of a Unitary State of Indonesia, Indonesia was recognized by the Dutch and International Community as an independent country in 1949 and was admitted to the United Nations in 1950, having **sovereignty over all parts of Indonesia** which covered all the territories formerly under the administration of the Dutch East India (Hindia Belanda).

However, even before the recognition of Independence, the problems of national unity had not been completely solved. The radical Islamic groups (Darul Islam) launched rebellion against the government in West Java, South Sulawesi and Aceh, basically demanding the creation of an Islamic state in Indonesia. At about the same time, the Indonesian Communist party launched a rebellion in Madiun in 1948 demanding the establishment of a communist state in Indonesia. Even after Indonesia became an established state, rebellion also took place in the various regions of Indonesia, such as in Central Sumatra, North Sulawesi, and Maluku, demanding various rights, basically based on the idea of "provincialism". At the same time Indonesia adopted the multiparty political system which resulted in some kind of paralysis of the Government. During this period Indonesia experienced three Constitutions, namely the 1945 Constitution, the Federal Constitution in 1949, and the 1950 Constitution.

At the same time the **maritime resources** of Indonesia did not bring much benefit to the Indonesian people as they should. The fisheries in Indonesian waters were used and exploited by far-distance-fishing-nations with no benefit to Indonesian people. The maritime space of Indonesia that lies between and surrounding Indonesian islands were not used for the benefit of Indonesian national unity and economic development, but had generally been used by foreign powers, especially the maritime powers, which had been developing global maritime policy for their own national interest and development. In many cases, the maritime space between Indonesian islands had been used to subvert Indonesian National Unity and as avenues for smuggling and maritime crimes.

It was during this period that Indonesia began to look into the possible roles of the sea for its development and national unity. Indonesia began to realize that one of the strengths of the colonial power vis-à-vis Indonesia during the colonial days was controlling and using the sea as a separating factor for Indonesian people and their country, rather than as a factor for unity of Indonesian peoples. Indonesia began to realize that the sea can become a strong factor for national development as well as national unity.

It was during this period that the Government under **Prime Minister Djuanda** declared on December 13, 1957, that all waters around, between and connecting islands within the Republic of Indonesia, regardless of their width, depth, or size, are pertinent parts of Indonesian territory and therefore form parts of Indonesian national waters under the **sovereignty** of the Republic of Indonesia. The determination of 12 miles territorial sea would be measured from straight baselines connecting the outermost points of the outermost islands of the Republic of Indonesia and shall be determined by Law.

The Djuanda Declaration was very strategic for Indonesia. If the 1928 Youth Pledge or Oath could be regarded as the "spiritual unity" of Indonesia as being one nation, one country and one language; and the 1945 Proclamation of Independence established the constitutional framework for Indonesia as a Unitary States, namely one Nation in one State, the 1957 Djuanda Declaration was the declaration of Territorial Unity that comprised the unity between the land, the water/the sea and its seabed and subsoil area, the airspace and all the resources contained therein. In my mind, these are the three main pillars of Indonesia that should not and could not be undermined.

The Djuanda Declaration which immediately drew protests from maritime powers as something against International Law at that time which recognized only 3 miles territorial sea measured from low water marks on the coastlines of every islands, and only in very specific cases straight base lines were admitted.

The Djuanda Declaration which later on known as the **Archipelagic States Principles** was proposed during the first United Nations Conference on the Law of the Sea in Geneva in 1958. But there were many objections and criticisms raised to the concept and before the decision was made on the proposal, Indonesia withdrew it

from the UN Conference, primarily because it was not yet mature for international consideration and recognition.

In preparing for the second UN Conference on the Law of the Sea in 1960, Indonesia enacting the Djuanda Declaration into **Law no.4/1960**. This legislation was also heavily criticized by maritime powers. But the Second UN Conference on the Law of the Sea was unsuccessful in reaching agreement on the limit of territorial waters and did no longer discuss the archipelagic principles.

After the failure of the Second UN Law of the Sea Conference in 1960, Indonesia began to concentrate on its implementation domestically. The Government later issued Government Regulation No. 8/1962 regulating "innocent passage" through Indonesian waters; the President also issued the Presidential Decision No. 103/1963, authorizing the Navy to protect Indonesian waters as a single "maritime circle" subject to Indonesian law. At about the same time, in 1960, Indonesia established Indonesian Maritime Council to consider and coordinate activities dealing with the various maritime issues, including fishing, navigation, seabed resources, and others.

By mid 1960 new impetus for the Law of the Sea had come again into the picture. Some of the reasons for this new initiative were:

- (1) The emergence of many new states in Africa after 1958 which, like Indonesia, also felt being neglected and exploited by the traditional concept of the freedom of the sea which benefited mostly European and maritime powers;
- (2) The incidence of a giant oil tanker, the Torrey Canyon, near the coasts of France and England in 1967 which aroused the consciousness of the world community toward the danger of pollution to the marine environment;
- (3) The increasing intensity of the Cold War between the socialist communist world under the leadership of the Soviet Union and the liberal capitalistic states under the leadership of the United States, which emphasized the significance of maritime strategy, particularly the rights of passages through straits and archipelagos;

(4) The increasing discovery of mineral resources in the deep ocean floors which raised questions as to the right of exploration and exploitation of those resources.

All these factors led to the decision of the UN to call on the need for a Third UN Law of the Sea Conference, originally intended to deal with mineral resources in the deep oceans, but later on agreed to cover all the subjects and issues under International Law of the sea.

The decision of the UN General Assembly in 1967, resulted in the formation of the UN Seabed Committee to prepare for the Conference which was slated to start in 1973. Indonesia looked into this opportunity to revive its struggle to gain international recognition to its archipelagic states principles. Although originally Indonesia was not a member of the UN Seabed Committee, it sent observers to the Committee meeting in Geneva in 1969, then began to be a full member of the Committee in 1970 until the beginning of the procedural conference in New York in 1973 and followed by the substantive Conference in Caracas in 1974.

During the UN Seabed Committee meetings, Indonesia:

- (1) Began again making preparations for the Conference, primarily by concluding Agreements with many of its neighbors on maritime boundaries, particularly on **continental shelves** and territorial seas. So far, since 1969 Indonesia has concluded some 17 agreements with its neighbors on maritime delimitation, the last one was with Singapore for territorial sea delimitation in the Singapore Straits in 2009, ratified by Indonesia in June 2010;
- (2) Began to attempt to formulate common positions and principles with other "archipelagic countries" such as the Philippines, Fiji, and others in the meetings in New York and in Manila; and
- (3) Began to consult various interested parties on the definition of an archipelagic state as well as on the legitimate interest of other states in the Archipelagic waters as well as the rights of the archipelagic state towards the open seas and oceans.

(4) Began to explain the principles to the various meetings of the Asian African Legal Consultative Committee (AALCC) meetings in various capitals of the AALCC countries.

Indonesia also actively propagated the idea and the principles of archipelagic states to the various academic groups and think-thanks around the world, particularly in the meetings of the Law of the Sea Institute (LSI), International Ocean Institute (IOI), Southeast Asian Policy on Ocean and Law (SEAPOL). All these forums and activities have contributed significantly to the understanding and acceptance of the concept of Djuanda Declaration, and Indonesia was ready to go to the Third UN Law of the Sea Conference beginning in Caracas 1974, which was preceded by the Procedural Conference in New York in 1973.

In the meantime, domestically, Indonesia also continued to implement the archipelagic states principles by announcing the Government Declaration on the Continental Shelf in 1969 which was later on enacted into Law No. 1/1973 on the Continental Shelf.

Indonesia, together with other archipelagic countries submitted and developed the proposals during the Third UN Law of the Sea Conference. It continued its efforts to approach various countries and institutions around the world to gain supports.

- (1) The main support later on came from the meetings of the Developing Countries on the Law of the Sea in Nairobi, Kenya in 1994,
- (2) The **Organization of African Unity** supported the archipelagic states principles in its meeting in Addis Ababa in 1994 and in Mogadishu in 1995.
- (3) Indonesia in fact formed a certain kind of "alliance" with the African Countries which mostly sought the recognition of the concept of **200 miles Exclusive Economic Zones** (EEZ) around their coastlines,
- (4) Indonesia reached certain informal understanding with several Latin American countries which sought the recognition of their 200 miles Territorial Sea concept.
- (5) Indonesia also cooperated with the so called "**straits countries**", particularly Malaysia, Oman, Egypt, Greece, and Spain, to seek an acceptable maritime regime of navigation through **straits used for international navigation.**

- (6) Indonesia was also cooperated very actively with the so called "margin group" who claimed the extension of the continental shelf beyond the depth of 200 meters of water as stated in the 1958 Geneva Convention, to 200 miles beyond the baselines for measuring the territorial seas, and even beyond 200 miles throughout the "natural prolongation" of the land territory of the states all the way to the outer edge of "continental margin" (the so called "marginist countries" like Australia, New Zealand, India, Norwegian, Canada, United States, the Soviet Union, and others).
- (7) At the same time Indonesia maintained non-confrontational attitude with regard to the Land-Locked and Geographically Disadvantaged States (LLGDS), including Singapore, which opposed both EEZ and Continental Margin claims, thus minimizing the opposition of the LLGDS countries to archipelagic states principles.

Indonesia also maintained intensive consultation with maritime powers, especially the United States, the Soviet Union (at that time), Japan, the United Kingdom, and others, as well as with China, India, and Latin American Countries and the Caribbean. In fact, Japan, at the end of the visit of President Soeharto in 1974 to Japan, made a joint statement with Indonesia recognizing the principles of the archipelagic states. The United States and other maritime powers showed understanding and willingness to support the concept if definition of an "archipelagic state" and the nature of the legal regime of the "archipelagic waters" could be agreed upon and the problems of navigation and transit through the archipelagic waters, and other issues, could be solved.

All these strategies in advancing the concept of Archipelagic States bore fruits. The UNCLOS was adopted in Montego Bay in Jamaica on December 10, 1982, 25 years minus three days after the Djuanda Declaration was announced on December 13, 1957. In addition to the recognition of Indonesia as an "archipelagic state" and the recognition of Indonesian sovereignty over the "archipelagic waters", the Convention also recognizes Indonesian sovereignty over 12 miles territorial seas surrounding the "archipelagic waters" and the recognition of its rights to have "contiguous zone" up to 24 miles from its archipelagic baselines, sovereign

rights and other jurisdictions over the **Exclusive Economic Zone** up to 200 miles from the archipelagic baselines, and the sovereign rights over Continental Shelf all the way to the outer edge of its "**continental margin**". In addition, archipelagic states were also allowed to draw "closing lines" for the delimitation of **internal waters** within its archipelagic waters.

While recognizing the sovereignty of Indonesia over its archipelagic waters, the UNCLOS also stipulates certain rights of other countries in certain parts of its archipelagic waters such as the "traditional fishing rights and other legitimate activities of the immediately adjacent neighboring states in certain areas falling within archipelagic waters". But the terms and condition for the exercise for such rights and activities, including the nature, the extent and the areas to which they apply, shall be regulated by bilateral agreements. So far, Indonesia has concluded an agreement with Malaysia on the Malaysian legitimate rights in the Indonesian Archipelagic waters between west and east Malaysia and the "Malaysian fishing rights" in certain parts of the Indonesian archipelagic waters around Anambas Island. The Convention also stipulated and regulated the need for passages and transit through the archipelagic waters under the regime of innocent passage in general and under the regime of "archipelagic sea lanes passage" through "archipelagic sea lanes and air routes there above" for the benefit of international communication. So far, Indonesia, in cooperation with IMO has established and legislated 3 north-south archipelagic sealanes through Indonesian archipelagic waters, together with their relevant spurs or branches.

- (1) from the South China Sea through Karimata and Sunda Straits through the Java Sea to the Indian Ocean;
- (2) from Sulawesi/Celebes Sea to the Indian Ocean through the Straits of Makassar, Flores Sea, and the Lombok Straits
- (3) from the Pacific Ocean and the Celebes Sea to Australia and Indian Ocean through the Moluccas Sea, Seram Sea, and Banda Sea together with their branches to Arafura Sea, Timor Sea and Sawu Sea.

In this context, it is essential to understand the significance and the differences between the two rights of navigation, namely the right of innocent passage and the right of archipelagic sealanes passage. There are several differences between the two rights of navigation:

- (1) In innocent passage "submarine and other underwater vehicles are required to navigate on the surface and to show their flag", while in ASLP they are allowed to navigate in "normal mode", thus there is the possibility for underwater passage;
- (2) In innocent passage there is no rights of overflight while in ASLP such right of overflight is permitted over the sea lanes;
- (3) The right of innocent passage can be suspended while the right of ASLP can not be suspended, although the sea lanes can be substituted.
- (4) In innocent passage an archipelagic state has more power to regulate and to exercise control while in ASLP the right is more limited.
- (5) There are no precise rules of International law with regard to the requirement of prior notification or prior authorization for warships navigating in innocent passage in territorial sea. Some countries require prior notification, some require prior authorization and some strongly oppose these requirements. UNCLOS, after years of debate at LOSC III, remains silent on this issue. In "transit passage" and ASLP, there is no requirement for prior notification or prior authorization for the passage of warships, although some countries would like to have these requirements.

The Convention, which was adopted in 1982 and entered into force on November 16, 1994, ratified by Indonesia by law No. 17/85 has now been ratified by some 160 countries and European Union. In Southeast Asia/ASEAN, only Thailand, Cambodia, Timor Leste that have not ratified it. The UNCLOS 1982 represents a **New Order in Ocean and maritime management**. The wide participations in the Conference and the intensive negotiating process by the various interests around the world, represented a serious efforts by the International Community to develop and achieve **law and order in the oceans space** and **in the use of its resources**, thus would contribute toward the establishment of maritime security, law and order, and sustainable development of its resources.

The long struggle of Indonesia to achieve recognition of its archipelagic state status, thus its territorial unity and the use of its maritime resources for the benefits of its people had come to full fruition after 37 years of struggle (from 1945-1982). As the result of this struggle, Indonesian territorial sovereignty has in fact expanded from

about 2 million square kilometers in 1945 to about 5 million square kilometers by the Djuanda Declaration in 1957. After the long struggle **thereafter**, Indonesian natural resources base that could be used for its economic development has also extended to its EEZ and Continental Shelf that amount to about another 3 million square kilometers, thus extending the natural resources base of Indonesia to about 8 million kilometers square of the earth surface, 6 million kilometer square of them lie at sea. This is all without prejudice to the participation of Indonesia in exploring, exploiting and managing the natural resources beyond its EEZ to the open high seas and oceans, as well as to the resources of the International Sea bed Area beyond its continental shelf and continental margin in cooperation with International Seabed Authority, headquartered in Kingston, Jamaica.

The results of this long struggle are testimony to the serious efforts, consistencies, and tenacity of the Indonesian People and their succeeding Governments to assure their national unity and to expand the natural resources base for their economic and social development. This is also a tribute to the Indonesian diplomacy and foreign policy in regional and international arena in order to contribute to the achievement of world order based on freedom, lasting peace and social justice, as stipulated in the Preamble of its 1945 Constitution.

^{*} Prof. Dr. Hasjim Djalal, M.A., a former Ambassador to UN, Canada, Germany, and Ambassador at Large for the Law of the Sea and Maritime Affairs, is now a member of Indonesian Maritime Council, Special Advisor to the Minister for Maritime Affairs and Fisheries, Special Adviser to the Minister of Transportation, Special Advisor to the Naval Chief of Staff, and teaches International Law and Relations at Padjadjaran University, Bandung, and at the National Defense Institute in Jakarta. He obtained his PhD from the University of Virginia in 1961 after writing a dissertation on the Limits of the Territorial Sea in International Law. He was also Secretary of the Legal Committee of the Indonesian Maritime Council (1961-1964), A member of various Indonesian Inter-governmental committees on various aspects of the Law of the Sea; and a Member of Indonesian negotiating team on various maritime boundaries with Indonesian neighboring countries. He participated fully as a member/later as a vice chairman of Indonesian Delegation to the Law of the Sea Conference from the UN Seabed Committee since 1969 until the conclusion of the Conference itself in 1982. He then became Chairman of Commission 1 dealing with the protection of land based producer countries of the Preparatory Commission to Establish International Seabed Authority (1983-1994), President of International Seabed Authority (1995-1996), later Chairman of the Finance Committee of International Seabed Authority until now.