

# CHAIRMAN'S REPORT FOR THE 13<sup>th</sup> ASEAN REGIONAL FORUM HEADS OF DEFENCE UNIVERSITIES / COLLEGES / INSTITUTIONS MEETING 3<sup>rd</sup> - 6<sup>th</sup> NOVEMBER, 2009 BANGKOK, THAILAND

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## **INTRODUCTION**

- 1. The 13<sup>th</sup> ASEAN Regional Forum for Heads of Defence Universities / Colleges / Institutions Meeting (HDUCIM) was held at the Imperial Queen's Park Hotel, Bangkok, Thailand from 3<sup>rd</sup> to 6<sup>th</sup> November, 2009.
- 2. The National Defence Studies Institute, Royal Thai Armed Forces hosted the Meeting. The Meeting was chaired by Vice Admiral Amorntep Nabangchang, Superintendent, National Defence College, National Defence Studies Institute, Royal Thai Armed Forces.

#### CONCEPT OF THE MEETING

- 3. The concept of the Meeting focused on the theme supported by issues brought forth during the presentation sessions. The forum consisted of speeches by Thai dignitaries, including a keynote address delivered on behalf of General Songkitti Jaggabatara, Chief of Defence Forces, by Air Chief Marshal Manit Changpuek, Deputy Chief of Defence Forces and paper presentations delivered by participating countries, including question and answer sessions.
- 4. A Cocktail Reception was held to welcome the participants followed by the celebration of "Loy Krathong" festival, one of the most important cultural events celebrated annually by Thais on the full moon night of the twelfth lunar month. A formal dinner was organized for the Delegates on 3<sup>rd</sup> November, 2009 with Thai cultural performances. An informal dinner was organized onboard a river cruise boat along the Chao Phraya River.

- 5. Visits were arranged to the "Arts of the Kingdom" Exhibition, and the Grand Palace. Heads of the Delegates also made courtesy call on the Defence Minister. Some of the Delegates attended the Defence & Security Exhibition at Impact Arena, Muangthong Thani in Nonthaburi province on the outskirt of Bangkok.
- 6. Vice Admiral Amorntep Nabangchang, Superintendent, National Defence College of Thailand chaired the meeting. Colonel Wasu Fuangsumruat moderated the Meeting, as well as all question and answer sessions. All planned activities were carried out to the satisfaction of Delegates present. The Meeting agenda is attached in **Annex A**. The detailed program of the Meeting is attached in **Annex B**.

# **ATTENDANCE**

7. A total of 20 out of 27 ARF participants (ASEAN Member Countries, Dialogue Partners, and ASEAN Secretariat) attended this Meeting. In addition, observers from the Geneva Centre for Democratic Control of Armed Forces (DCAF), the International Committee of the Red Cross as well as representatives from the Foreign Ministry also attended the Meeting. A total of 62 Delegates were in attendance. The full list of participants is attached in **Annex C**.

## **KEYNOTE ADDRESS**

8. Five speeches were delivered by the host country during the Meeting including the welcoming remarks from General Puchong Rattanawan, Commanding General, National Defence Studies Institute, Royal Thai Armed Forces, the keynote address delivered by Air Chief Marshal Manit Changpuek,

Deputy Chief of Defence Forces, on behalf of the Chief of Defence Forces, and the opening remarks by Vice Admiral Amorntep Nabangchang, Superintendent, National Defence College of Thailand in his capacity as Chairman of the Meeting.

- 9. Heads of Delegates also received a welcoming at the Ministry of Defence from General Prawit Wongsuwon, Defence Minister.
- 10. In addition, Delegates received a farewell remarks from Vice Admiral Amorntep Nabangchang, Superintendent, National Defence College of Thailand.
- 11. The key points of these speeches were as follows:
  - a. Non-traditional threats have posted dangers in every dimension. Every country needs to have an agency to tackle these threats.
  - b. The Armed Forces are required to undertake more duties and roles to maintain internal security of the country. Many countries have placed great importance on unrest from terrorism which affects the lives of the people, or unrest arising from national racial and religious conflicts. Each country has placed different priority and urgency on internal security.
  - c. This Meeting provides a good opportunity to scrutinize concepts that are based on diverse experiences for mutual understanding and confidence with one another. The acquired knowledge and

information will be useful in developing the appropriate courses of action in resolving internal security issues, and addressing the necessary doctrine and codes of conduct, as well as reviewing the relevant laws, orders, rules and regulations.

d. The Delegates were encouraged to take full advantage of this forum to share their experiences, try to understand one another and make use of this good opportunity to reach a common solution that satisfies all of us as much as possible.

#### THEME OF THE MEETING

- 12. The theme of this year's Meeting: 'The Roles of Armed Forces in Maintaining Internal Security' as a tool for the State in maintaining internal security, how can the Armed Forces play effective roles in the time of national crisis such as terrorist attack, insurgencies and internal unrests, national disaster, outbreak of epidemic diseases, and other common regional challenges, was well covered by 15 papers as well as the keynote address. Delegates from the Philippines also presented the Draft TOR on Information Exchange, which was then considered and approved by all Delegates attended the meeting. The three topics set forth areas to support the theme were as follows:
  - a. <u>Topic 1</u>: *Appropriate unit structure and management*A total of 7 papers were presented.
  - b. <u>Topic 2</u>: *Doctrine and codes of conduct*A total of 3 papers were presented.
  - c. <u>Topic 3</u>: *Supporting laws, orders and regulations*A total of 5 papers were presented.

#### PRESENTATIONS AND DISCUSSIONS

- 13. For the sub-theme on Topic 1: *APPROPRIATE UNIT STRUCTURE AND MANAGEMENT*, the titles of the papers presented by delegations were as follows:
  - a. <u>Paper 1</u>. "Roles of Armed Forces in Maintaining Internal Security: The Case of Southern Thailand Discord", sponsored by Thailand.
  - b. <u>Paper 2</u>. "The Armed Forces and Emergency Rescue and Disaster Relief Operations", sponsored by the People's Republic of China.
  - c. <u>Paper 3</u>. "Role of ROK Armed Forces in Response to Terrorism and National Disasters", sponsored by the Republic of Korea.
  - d. <u>Paper 4</u>. "Transforming the Armed Forces of the Philippines

    Through the Philippines Defense Reform Program:

    Focus on Internal Security", sponsored by the

    Philippines.
  - e. <u>Paper 5</u>. *"The SAF's Role in Homeland Security"*, sponsored by the Republic of Singapore.

- f. Paper 6. "The Role of Royal Brunei Armed Forces in Support of National Disaster Management Center (NDMC)" sponsored by Negara Brunei Darussalam State of Brunei.
- g. <u>Paper 7</u>. "The Role of Armed Forces of Vietnam in Maintaining Internal Security" sponsored by the Socialist Republic of Vietnam.
- 14. For the sub-theme on Topic 2: *Doctrine and codes of conduct,* the title of the papers presented were as follows:
  - a. <u>Paper 8</u>. "Domestic Operations: a Canadian Perspective", sponsored by Canada.
  - b. <u>Paper 9</u>. "Role of Armed Forces in Maintaining Internal Security: The Indian Experience", sponsored by the Republic of India.
  - c. <u>Paper 10</u>. "Role of Armed Forces in Internal Security-Doctrine and Codes of Conduct: The Pakistani Perspective", sponsored by the Islamic Republic of Pakistan.
- 15. For the sub-theme on Topic 3: *Supporting laws, orders and regulations,* the title of the papers presented were as follows:

- a. <u>Paper 11.</u> "Roles of Armed Forces in Maintaining Internal Security Supporting Laws, Orders and Regulations", sponsored by Japan.
- b. <u>Paper 12.</u> "Roles of Armed Forces in Maintaining Internal Security", sponsored by Mongolia.
- c. <u>Paper 13.</u> "Roles of Armed Forces in Maintaining Internal Security", sponsored by the United States of America.
- d. <u>Paper 14.</u> "Roles of Armed Forces in Maintaining Internal Security", sponsored by the Geneva Centre for Democratic Control of Armed Forces.
- e. <u>Paper 15.</u> "Roles of the International Committee of the Red Cross and its Promotion of International Humanitarian Law", sponsored by International Committee of the Red Cross.
- 16. In addition, a presentation was provided on "*Draft of Terms of Reference (TOR) on Information Exchange*" by the Philippines. The TOR is attached in **Annex D.** The Meeting considered and approved the TOR.

#### **KEY OUTCOMES**

- 17. The key outcomes of these presentations and general consensus are summarized as follows:
  - a. The promotion of internal security is one of the most pivotal responsibilities of the Armed Forces in the present world, and that sustainable internal security has become the priority agenda for democratic administrations across the world.
  - b. Today's internal security problems are very complex and broad in scope that the public security forces cannot solve them by working alone. Effective initiatives need to be considered to allow members of the Armed Forces to work closely with Civil Society to achieve appropriate comprehensive set of capabilities to contend with the expanded spectrum of operations in order to maintain and ensure internal safety and security.
  - c. The way in which the Armed Forces expand their roles beyond the realm of defence and war, and becomes involved with the civil society and the key for their success may vary from country to country.
  - d. In order to effectively tackle traditional and non-traditional threats, professional Armed Forces must work with civilian authorities and other government agencies by, but not limited to sustaining mutual confidence building through shared education and participation in joint exercises.

- e. Military education must prepare military officers and government officials to meet this changing environment as well as other diverse challenges such as building competent unique sets of skills in order to contribute effective disaster response in time of crisis and natural disasters.
- f. Military operations should be conducted only in support of civil authority and in conformity with the laws, orders and regulations of the State.
- g. From the presentations and discussions, the Meeting agreed that:
  - (1) Responses to internal incidents should be handled first and foremost by local civil authorities. Catering for people's needs in time of crisis and natural disasters must be carefully balanced with available resources.
  - (2) The doctrine and codes of conducts have to be dynamic to effectively respond to time sensitive situations.
  - (3) Flexibility, adaptability and proactive approaches need to be the key components of the doctrine dealing with internal security threats.
  - (4) The Meeting agreed on the importance of effective interagency cooperation, coordination, collaboration, communication, and control particularly between the Armed Forces and Civil Service Agencies.

#### **OTHER MATTERS**

- 18. Duration and Organization of the Meetings
  - a. The Meeting this year was extended from two-day duration to four-day duration to cater for all the presentations and discussions on questions of interest as requested at the 12<sup>th</sup> ARF HDUCI Meeting in Islamabad, Pakistan.
  - b. The Question and Answer sessions were slotted following the presentations on each subtheme as requested at the 12<sup>th</sup> ARF HDUCI Meeting in Islamabad, Pakistan.
- 19. ARF Institute for International Security
  - a. Indian Delegates proposed an establishment of an "ARF Institute for International Security". The Meeting considered the proposal and was in agreement. The United States of America supported the endeavor and said that the topic would be included in next year's Meeting. The Indian Delegates also proposed that this topic should be put forward to the ARF Secretariat unit for consideration before proceeding with further details. The meeting concurred.
- 20. The Meeting agreed that the information gathered at this forum should be conveyed to the appropriate authorities of each member country.

#### 21. Hosts for Future ARF HDUCI Meetings

- a. The delegation from the USA reiterated their readiness to host the 14<sup>th</sup> ARF HDUCIM in the USA. The proposed themes and sub-themes for the Meeting are as follows:
  - Strategic Challenges FOR Defense Universities:
    - o Managing Information Sharing
    - o Prospects for Strategic Multilateralism
    - o Teaching and Researching in a new Strategic Environment
- b. The Meeting noted with appreciation that the USA has expressed their interests in hosting the subsequent Meeting of the HDUCI in 2010.
- c. Suggestion to host the annual meeting in 2011 has been put forward to Indonesian Delegates and Indonesia has kindly reiterated their readiness to host the 15<sup>th</sup> ARF HDUCIM. The theme and sub-theme for the meeting shall be advised accordingly at the next meeting.
- d. The Meeting noted with appreciation also that Indonesia has expressed their interest in hosting the subsequent Meeting of the HDUCI in 2011.

## TOUR / ACTIVITY

#### 22. Tour of "Arts of the Kingdom" Exhibition

In the afternoon of 4<sup>th</sup> November, 2009, Delegates were taken on a tour of the "Arts of the Kingdom" Exhibition at Ananta Samakhom Throne Hall. The objective of this visit was to share with member countries some of the major tourist attractions in Bangkok.

## 23. Courtesy Call on Defence Minister

In the morning of 5<sup>th</sup> November, 2009, Heads of Delegates paid courtesy call on General Prawit Wongsuwon, Defence Minister. The objective of this visit was to officially welcome Delegates to Thailand by representative of the Head of State.

24. Tour of the Grand Palace and Visit to Defence & Security 2009 Exhibition

Also in the afternoon of 5<sup>th</sup> November, 2009, some of the Delegates were taken for a tour of the Grand Palace. The objective of this visit was to share with member countries some of the major tourist attractions in Bangkok. Other Delegates visited the Defence & Security 2009 Exhibition at Impact Hall, Muangthong Thani.

#### **ACKNOWLEDGEMENT**

25. The Meeting expressed its gratitude and appreciation to the Government of Thailand, to the Ministry of Defence, and to the National Defence Studies Institute, Royal Thai Armed Forces in particular for the excellent arrangements made for the Meeting and for the warm hospitality extended to the participants. The Meeting was unanimous in showing appreciation for the excellent accommodation and arrangements as well as hospitality provided by the Host country.

# **CONCLUSION**

- 26. In his concluding remarks, the Chairman expressed his heartfelt appreciation and thanked all Delegates for their presence and participation, and for making this Meeting altogether a great success. He mentioned that their presence and contributions is a reflection of the commitment placed by member countries in making this forum a tremendous achievement. The quality of presentation and the discussion generated is a clear indication of dedication by Delegates present to ensure productive efforts are made to maintain the high standards of this Meeting. All these efforts have contributed towards meeting the objectives of this forum.
- 27. The Chairman reiterated that the essence of any international gathering, such as this, is the sharing of norms, values, intellectual knowledge and in-depth experiences. With that in mind, we could all rest assured that with member countries participating actively, we have indeed achieved that aim. Such diverse experiences under our military framework have contributed to creative thinking, useful guidelines development, and better understandings between members.

28. The Chairman accentuated that the good intention and the will to understand, and respect for one another can solve any problems. This Meeting has had a great success, and therefore all Delegates should try to make use of what was achieved at this meeting as much as possible.

29. The Chairman called to everyone's attention to remember at all times that any problems could be effectively solved through dialogues.

30. On behalf of National Defence Studies Institute of Thailand, the Chairman thanked all Delegates for their contribution, and wished the Host for the next Meeting a great success.

31. The Chairman handed over the Host of the Meeting to the USA's Head of Delegates, and adjourned the Meeting at 1015 hrs. on 6<sup>th</sup> November, 2009.

32. The Chairman wished everyone a safe journey back to their respective countries and reminded everyone to convey the outcomes of this Meeting to their appropriate authorities.

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# Annex A: Agenda for the 13th ARF HDUCIM

Item 1	Keynote Address by Air Chief Marshal Manit Changpuek, Deputy Chief of Defence Forces
Item 2	Opening Remarks by the Chairman, Vice Admiral Amorntep Nabangchang, Superintendent, National Defence College
Item 3	Adoption of Agenda
Item 4	Presentation and Discussion  Topic 1: <i>Appropriate Unit Structure and Management</i>
Item 5	Presentation and Discussion Topic 2: <i>Doctrine and Codes of Conduct</i>
Item 6	Presentation and Discussion Topic 3: <i>Supporting Laws, Orders and Regulations</i>
Item 7	Adoption of Draft "Terms of Reference (TOR) for ARF Heads of Defence Universities / Colleges / Institutions Meeting (HDUCIM)"
Item 8	Adoption of Chairman's Report
Item 9	Handover Host and Concluding Remarks by the Chairman

# Annex B: Programme for the 13th ARF HDUCIM



# 13<sup>th</sup> ARF Heads of Defence Universities/ Colleges/ Institutions Meeting



# 3<sup>rd</sup> – 6<sup>th</sup> November, 2009 Bangkok, THAILAND

# Monday 2<sup>nd</sup> Nov 2009 (Dress: Smart Casual)

1300 - 1900	Registration at Imperial Queen's Park Hotel
1900 - 2130	Cocktail Reception at the Terrace 9 <sup>th</sup> Floor (Joining Thai Cultural Festival of Loy Krathong at 2030 hrs in Benjasiri Garden) Hosted by Commanding General, NDSI, Royal Thai Armed Forces

Thai Cultural Performances

# Tuesday 3<sup>rd</sup> Nov 2009 (Dress: Military Uniform)

0830	Registration (Cont.) at Queen's Park 1 Hall (2 <sup>nd</sup> Floor)		
0850	Administration / Safety Announcement by MC		
0900	Welcome Remarks by Commanding General, NDSI, Royal Thai Armed Forces		
0915 - 0945	Keynote Address by Deputy Chief of Defence Forces		
0945 - 1015	Group Photograph / Coffee Break		
1015 - 1030	Opening Address by Chairman and Introduction of Delegates		
1030 - 1100	Presentation on "Roles of Armed Forces in Maintaining Internal Security" Sub-theme 1: Appropriate Unit Structure and Management by Thai Delegate: Major General Surasit Thanadtang, Director General, Strategic Studies Center, NDSI		
1100 - 1130	Presentation by the People's Republic of China		
1130 - 1200	Q &A		

1200 - 1300	Lunch at Parkview Restaurant	
1300 - 1330	Presentation by the Republic of Korea	
1330 - 1400	Presentation by the Philippines	
1400 - 1430	Presentation by the Republic of Singapore	
1430 - 1510	Q & A	
1510 - 1530	Coffee Break	
1530 - 1600	Presentation on "Roles of Armed Forces in Maintaining Internal Security" Sub-theme 2: Doctrine and Codes of Conduct by Canada	
1600 - 1630	Presentation by the Republic of India	
1630 - 1700	Q & A	
1830 - 2100	Formal Dinner at Queen's Park 2 (Dress: Smart Casual-Long Sleeves)	
	Thai Cultural Performances	

# Wednesday 4th Nov 2009 (Dress: Military Uniform)

0830 - 0845	Open Proceedings: Sub-theme 2 (Cont.)
0845 - 0915	Presentation by the Islamic Republic of Pakistan
0915 - 0930	Q & A
0930 -1000	Presentation on "Roles of Armed Forces in Maintaining Internal Security" Sub-theme 3: Supporting Laws, Orders and Regulations by Japan
1000 - 1015	Q & A
1015 - 1045	Coffee Break
1045 - 1115	Presentation by the Republic of Mongolia
1115 - 1145	Presentation by the United States of America
1145 - 1215	Q & A
1215 - 1330	Lunch at Parkview Restaurant

1330 - 1630	Tour to the "Arts of the Kingdom" Exhibition at Ananta Samakhom Throne Hall	
	Back to the Hotel	
1800	Proceed to Royal Thai Navy Convention Centre	
1830 - 2130	Informal Dinner on Board the Angsana - Queen of th Chao Phraya River (Dress: Smart Casual)	
	Thai Cultural Performance: Dulcimer Solo	

# Thursday 5th Nov 2009 (Dress: Military Uniform)

Thursday 5 <sup>th</sup> Nov 2009 (Dress: Military Uniform)		
0830 - 0900	Presentation on <b>"Draft of TOR on Information Exchange"</b> by the Philippines	
	Consideration and Approval of the TOR	
0900 - 0930	Open Proceedings: Sub-theme 3 (Cont.)	
	Presentation by the Geneva Centre for Democratic Control of Armed Forces	
0930 - 0945	Q & A	
0945 - 1000	Coffee break	
1000 - 1200	Heads of Delegates Proceed to Make Courtesy Call on Defence Minister (Dress: Military Uniform)	
	The rest of Delegates Remain at the Hotel	
1200 - 1300	Lunch at Parkview Restaurant	
1300 - 1330	Presentation by International Committee of Red Cross	
1400	Options to Visit the Grand Palace <u>or</u> visit Defence & Security 2009 Exhibition at Impact Hall Muang Thong Thani	
	• To the Grand Palace: Leave at 1415 hrs (Dress: Smart Casual)	
	• To Defence & Security Exhibition: Leave at 1430 hrs	

• To Defence & Security Exhibition: Leave at 1430 hrs (Dress: Lounge Suit for followed on Gala Dinner at 1730 hrs.)

# \*\* Please note that

• Informal Dinner at the Hotel is Available at Parkview Restaurant (Expense Paid by NDSI)

# Friday 6th Nov 2009 (Dress: Military Uniform)

0830 - 0845	Open Proceedings	
0845 - 0900	Other Matters	
0900 - 0930	Reading of the Chairman's Report and Approval	
0930 - 0945	Hand over Hosting of 14th ARF HDUCIM to the USA	
	Concluding Remarks by the Chairman	
0945 - 1015	Presentation of Souvenirs	
1015- 1045	Coffee Break	
1030	Bus Leaves for Sports Activity	
1200-1300	Informal Lunch at Parkview Restaurant	
1300 - Evening	Sports Activity / Free Afternoon	
	Departure of Delegates per Flight Schedule	

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# Annex C: List of Participants for 13th ARF HDUCIM

Item	No.	Country	Name
1	1.1	Australia	Brg Wayne Goodman
2	2.1	Brunei Darussalam	COL (L) Nooradin bin Haji Yaakob
	2.2		MR Haji Hamzah bin Haji Sulaiman
3	3.1	Cambodia	MG Dok Sopha
	3.2		BG Var Mony
4	4.1	Canada	DR Craig Stone
5	5.1	People's Republic of China	LTG Wang Xibin
	5.2		SRCOL Guo Xining
	5.3		COL Li Chunjiang
	5.4		LTC Xu Qiyu
6	6.1	DCAF	PROF DR Heiner Hänggi
7	7.1	EU	MR Nuno Caldeira da Silva
	7.2		MR Peter Hazdra
	7.3		MS Thanawan Klumklomchit
8	8.1	India	LTG Prakash Menon, AVSM, VSM
	8.2		RADM VS Chaudhari, NM
	8.3		Brg Rakesh Bassi, SM
9	9.1	Indonesia	AVM Surya Dharma
10	10.1	International Committee of Red Cross	MR Christian Brunner
	10.2		MR Martin Mcdermott
11	11.1	Japan	MG Seiichi Takeuchi
	11.2		LTC Daisaku Sakaguchi
	11.3		MR Yoshihide Matsuura

Item	No.	Country	Name
12	12.1	Republic of Korea	BG Jeong-Ha Lee
	12.2		COL Hogil Shin
	12.3		PROF Choonjoo Lee
13	13.1	Laos	BG Tongloi Silivong
	13.2		MAJ Vilaysone Sisombath
14	14.1	Mongolia	MG Jalbajav Nanzaddorj
	14.2		MAJ Bolor Ganbold
15	15.1	Pakistan	LTG Muhammad Yousaf
	15.2		BG Sardar Muhammad
16	16.1	Philippines	CMDR Carlos L Agustin, AFP (Ret)
	16.2		CDR Rostum J Bautista MNSA PN (Res)
	16.3		COL Reynaldo O Ordoñez, PA (GSC)
17	17.1	Singapore	BG Lim Teck Yin
	17.2		MR Eddie Lim Meng Chong
	17.3		LTC Chua Siew Boon
18	18.1	USA	VADM Ann Rondeau
	18.2		CAPT (Ret) Gerald W Faber
	18.3		MR Lewis M Stern
	18.5		MS Katherine L Wood
	18.6		DR Alfred Oehlers
	18.7		LT Sam Fletcher
19	19.1	Vietnam	LTG Nguyen Nhu Hoat
	19.2		Senior COL Dinh Van Lich
	19.3		CAPT Dinh Thanh Hoang

Attended Assistant Military Attaché			
1	Australia	COL John Blaxland	
		CAPT Adam Jessep	
2	People's Republic of China	MG An Zuoshan	
3	Japan	COL Harutoshi Tsuchiya	
4	Republic of Korea	COL Cho Jeang Ku	
5	Pakistan	COL Syed Sajid Raza	
6	Philippines	COL Richard Lagrana, PA (GSC)	
7	Russia	Alexy A Govarov	
8	Singapore	COL Teo Meng Soon Tony	
		LTC Cheong Tien Sir Luke	

Thai NDSI – Chairman and Secretariat at 13th ARF HDUCIM		
1	Thailand	VADM Amorntep Nabangchang
		MG Wisith Jeangprajak
		MG Surasit Thanadtang
		COL Wasu Fuangsumruat

Representative from the Ministry of Foreign Affairs			
1	Thailand	MS Lasapan Toomsawasdi	

# Annex D: TOR on Information Exchange

ASEAN REGIONAL FORUM (ARF)
INTER-SESSIONAL SUPPORT GROUP
ON CONFIDENCE BUILDING MEASURES (ISG-CBM)
MEETING OF HEADS OF DEFENSE UNIVERSITIES,
COLLEGES AND INSTITUTIONS

#### TERMS OF REFERENCE ON INFORMATION EXCHANGE

# **Background**

- 1. Since the first ARFHDUCI Meeting in Manila, Philippines on 7<sup>th</sup> 8<sup>th</sup> October 1997, eleven meetings have subsequently been held, namely, Republic of Korea (1998), Mongolia (1999), China (2000), Japan (2001), Russia (2002), India (2003), Singapore (2004), Viet Nam (2005), Malaysia (2006), Australia (2007), and Pakistan (2008).
- 2. At the Eighth ARFHDUCI Meeting held in Singapore on 1<sup>st</sup> 3<sup>rd</sup> September 2004, a draft Terms of Reference of the ARFHDUCIM was circulated for consideration. After consolidating inputs from member institutions, this was unanimously accepted and adopted and approved during the following meeting of the ARF in 2005.
- 3. At the Ninth Meeting of ARFHDUCI in Vietnam on 10<sup>th</sup> 13<sup>th</sup> October 2005, participating ARF countries noted the improving level of confidence, engagement and cooperation among ARF defense and military educational institutions and agreed to sustain and enhance cooperation and networking through exchange of information and experiences, capacity-building and curriculum sharing, seminars/symposia, human resource development, staff and publication exchanges, and establishment of working groups.
- 4. Building on this consensus, the proposal of the Philippines for a Terms of Reference on Information Exchange was approved during the Twelfth ARFHDUCIM held in Pakistan on 21<sup>st</sup> 23<sup>rd</sup> October 2008. Further, a Committee to draft the Terms of Reference was designated composed of the Philippines, Pakistan and Thailand.

## **Purpose**

5. Consistent with the ARF spirit of promoting dialogue and trust in the region, the main goal of the Term of Reference on Information Exchange is to support the sharing of best practices and information among defense educational institutions towards capability building.

# Scope

6. The information to be exchanged shall include, but not limited to, academic matters concerning ARFDUCIs, such as curriculum, database and other bibliographic references, student/faculty research and training programmes, organization, faculty development, and publications. Other information such as defence and security related national laws and policies as well as miscellaneous defence and security information may also be part of the exchange.

## **Exchange of Information Working Group**

- 7. Effective after the approval of this Terms of References, an Exchange of Information Working Group (EIWG) shall be established consisting of representatives from the previous and incoming host countries, plus four other members designated, preferably representing ASEAN, Northeast Asia, South Asia, other member countries, and the ARF Unit (ex-officio). The incoming host representative shall be designated Chair of the EIWG. For consistency and permanency, the ARF Unit shall be the repository of all ARFHDUCIM and EIWG documents.
- 8. As desired, the EIWG may hold a meeting simultaneously with the annual ARFHDUCI meeting, to be hosted by the incoming host country. The incoming host country shall issue the invitations and make the necessary arrangements for the conference; however, participating countries shall support the travel and accommodations of their representatives. The EIWG shall render a report to the body during the annual meeting.

# **Country Secretariat**

9. To carry the process forward, a country secretariat designated as point of contact shall be established by each ARF DUCI member.

#### **Process**

- 10.Any participating ARFDUCI shall inform in advance the head of the host as Chair of both the Meeting and the EIWG of any matter or topic which it wishes to be included in the program on Exchange of Information. The host country will include the request in the information kit for the upcoming meeting to be distributed to all participating DUCIs.
- 11. The Exchange of Information shall be a regular Agenda item in ARFHDUCI Meetings. Matters discussed under the Exchange of Information shall form part of the report which shall be submitted to the Co-Chairs of the ISG-CBM and distributed to all ARF DUCIs and participating countries.
- 12. The ARF Unit, as a member of the EIWG, shall create and continuously build up a database on all information exchanged among the ARF DUCIs. This shall be posted on the ARF Net (www.aseanregionalforum.org) and made accessible to all ARF participating DUCIs.

#### **Review**

13. This Terms of Reference shall be reviewed in whole or in part for possible amendments and revisions, including the formulation of additional guidelines should the need arise or as any member may propose. Any amendment or revision shall be made on the basis of consensus of all ARF participants.

# **Effectivity**

14. This Terms of Reference shall take effect upon formal adoption by participating DUCIs.

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# Annex E: Acceptance Speech by Vice Admiral Ann RONDEAU, Head of the US Delegations

13<sup>th</sup> ASEAN Regional Forum (ARF)

Heads of Defence Universities/Colleges/Institutions Meeting (HDUCIM)

Bangkok, Thailand, 3 – 6 November 2009

"The Theme for 14<sup>th</sup> ASEAN ARF HDU Meeting, 2010:

Roles of National Defense Universities in Defense Engagement"

- I am honored to have been invited to attend this meeting, the 13<sup>th</sup> ASEAN Regional Forum (ARF) Meeting of Heads of Defense Colleges and Institutions, ably and graciously hosted by Thailand.
- And I am very pleased and doubly honored to accept the challenge of hosting the 14<sup>th</sup>
  ASEAN Regional Forum Meeting of Heads of Defense Colleges and Institutions in
  Washington, D.C. next year at our National Defense University's Fort McNair
  campus.
- We would have to expend our defense budget for the next decade to come near the level of hospitality afforded to these participants by the Thai National Defense University.
- The topics for the last three meetings have tended to focus on "confidence Building Measures" themes that resonate with broad ARF themes regional security, non-traditional security challenges, multilateral cooperation:
  - ➤ Pakistan hosted the 2008 meeting, which focused on the growing involvement of regional militaries in combat and non combat military operations other than war (MOOTW) and the reassessment of challenges that entailed.
  - This year's focus on "Roles of Armed Forces in Maintaining Internal Security" shows the extent to which the region and its friends, all of whom are assembled here as representatives of participating militaries, have accepted these new responsibilities that militaries in the region have had to rise to in times of national crisis.

- The theme I would like to explore for the U.S.-hosted HDU meeting in 2010 represents a strategic manifestation of this recognition of new challenges and their impact on doctrine, organization and leadership.
- In many countries, the defense leaderships have seen military intellectuals, thinkers, strategists drawn increasingly into the business of sorting through the strategic implications and consequences of these new challenges.
- In many countries, these strategic thinkers have either sought to develop new or enhance the capacities of existing national defense colleges.
- And in many countries, en route to taking on the challenges that have been the subject of this meeting, defense universities have seen their roles widened in defense reform, strategic dialogue, and institution building.
- Let me describe what has prompted me to shape the topic for the 14<sup>th</sup> meeting in this way. The U.S. National Defense University has, over the last 3-5 years, become more closely involved in defense engagement as a resource for Policy Managers, especially during the run up to important events in bilateral defense relationships.
- Our own NDU has become enmeshed in the preparations for significant OSD Policy-hosted Southeast Asia defense and security activities such as:
  - ➤ Preparations for the Shangri-La Dialogue (suggesting themes for the keynote speech by the Secretary of Defense),
  - > Travel to Southeast Asia by the Secretary of Defense (offering background papers on strategic issues),
  - ➤ OSD Policy responsibilities regarding the ASEAN Regional Forum confidence building meetings and bilateral defense and security cooperation consultations (offering commentary on the capabilities of various countries in the area of strategic research and dialogue).
- Moreover, the U.S. NDU is increasingly involved in support activities essential to theatre security engagement and in efforts to operationalize OSD Policy commitments to enhancing bilateral defense and security relations, such as:
  - ➤ Support to the Cambodian Defense Strategy Review Working Group which has been tasked with updating RCAF's Defense Strategic Policy by the second quarter of fiscal year 2010 in several areas including force modernization, border security, maritime security, counterterrorism, peacekeeping operations capacity, and civil military operations.

- ➤ Proposed collaboration between the Thai National Defense College and the U.S. National Defense University to improve and develop Thailand's Strategic Research Institute, established as the think tank for the Royal Thai Armed Forces National Defence College in 2007, and designed to be the equivalent of the NDU Institute for National Strategic Studies.
- ➤ Support to the Royal Thai Armed Forces defense reform efforts in force structure and bureaucracy reforms, reduction of overlapping bureaucracies within MND, the High command, and the Army; implementation of compulsory military service and reform of legal authorities.
- ➤ Support to the Indonesian military's efforts to develop a National Defense College.
- ➤ The proposed establishment of a partnership between the National Defense University Press and the Vietnamese Military Publishing House to conduct publication exchanges and possible joint publications and translations of research work.
- In short, I put before you a topic that features the strategic challenges we have discussed today as they impact on the universities, colleges and institutes we represent, as they impact the way universities organize and seek to resource themselves to conduct their evolving missions.
- I envision a meeting in 2010 in which my university is responsible for galvanizing discussion on how defense universities partner with other defense agencies on long-term processes including but not limited to:
  - ➤ Military reform and force structure review
  - ➤ Collaboration with agencies and organizations assessing policies regarding maritime security initiatives
  - ➤ NDU involvement in defense policy development workshops or in-services for senior defense leaders on priorities such as:
    - o Force modernization
    - o Border security
    - Maritime security
    - o Counterterrorism

- o Peacekeeping operations capacity, and
- o Civil/military operations.
- I would also seek to discuss the extent to which national defense universities, colleges, institutions find themselves pairing and partnering with non-governmental organizations and private voluntary organizations in efforts to confront defense and security challenges, transnational threats, and other contingencies that increasingly call for the involvement of a wide range of resources in the resolution of unconventional challenges.
- Thus, with the consensus of this body, and reflecting the issues pointedly and sagely raised during discussion this week, I propose this theme for our 14<sup>th</sup> forum:
  - > Strategic Challenges FOR Defense Universities:
    - Managing Information Sharing
    - o Prospects for Strategic Multilateralism
    - o Teaching and Researching in a new Strategic Environment
- I think we agree that defense universities, colleges and institutions are confronting new technological inventions that are force multipliers in an information intensive environment.
  - ➤ How can national defense universities, colleges and institutions adapt and evolve to the point of being able to utilize these inventions in a way that serves academic and strategic purposes?
  - ➤ How can we find paths to an acceptable and easily implemented and managed method of making data, information, publications, possibly curricula, and research accessible within our forum?
- National defense universities, colleges and institutions are confronting the challenges
  of nontraditional threats in as bodies responsible for thinking conceptually,
  strategically, analytically, and practically about such matters.
  - ➤ How can we define approaches to teaching, conducting research, analyzing and discussing these challenges in a way that carves out cooperation between and among our institutions, or between and among our institutions and defense agencies, think tanks, civilian colleges, and non governmental agencies/private voluntary organizations.

- ➤ National defense universities, colleges and institutions
- National defense universities, colleges and institutions are practicing pedagogy in a
  quick changing environment, and are increasingly finding themselves called upon to
  address conceptual challenges and formulate strategic paths to multilateral
  cooperation.
  - ➤ How can we invent ways of cooperating in such areas as defense reform?
  - ➤ How can we find ways of helping defense engagement, bilateral and multilateral, by offering help to other defense institutions in developing their teaching capabilities, strategic and analytical tools?
  - ➤ How can we find ways of using old teaching tools such as gaming, and new technological resources that we can't even envision at the moment?
  - ➤ How can national defense universities, colleges and institutions serve defense agency and national defense policy needs by figuring out how to help partners in efforts to build new strategic centers, research agencies, professional military education teaching centers?
  - ➤ How can national defense universities, colleges and institutions develop new and effective technologically inspired curriculum and teaching methods?
  - ➤ How can national defense universities, colleges and institutions cooperate in multilateral ways to perform the mission in the face of the challenges we discussed today? How can the Indian proposal for an ASEAN Institute for International Security contribute to creating a momentum between annual meetings, establishing a forum for continuous discussion, and building a platform for exchanging information?
- This is a massive undertaking, and the Thai did an exceptional job of organizing and running this significant military (and civilian) movement, mobilizing the moral equivalent of a multi-divisional resource, keeping morale up, maintaining command and control.
- I have offered my assurances to several countries that were unable to present their papers because of the press of time. We will certainly be as diligent as our Thai hosts in keeping the event to schedule, but perhaps we could carve out some time to accommodate these countries early on in the agenda. For example, I would gladly forgo my chance at singing another song if it means we could squeeze some additional conference time into the schedule.

• I look forward to developing these ideas with the participant countries over the course of the year. In the near future, my office will develop a mechanism for staff level officers to communicate with one another, and with my staff and other U.S. NDU agencies, on this topic so that we can together develop the agenda, articulate the theme, and begin the work that past hosts have done in such an exemplary way.

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