

ANNEX E

Summary of ARF agreed CBMs

	CBMs	Australia	Brunei	Cambodia	Canada	PRC	EU	India	Indonesia	Japan	ROK	Laos	Malaysia	Myanmar	NZ	PNG	Philippines	Russia	Singapore	Thailand	USA	Vietnam
Table 1	1 ARF Defence Policy Statement	●		●	●	●				●	●		●	●	●				●	●		●
	2 Publication of Defence White Papers/Equivalent	●			●	●			●	●	●		●		●		●	●	●	●	●	○
	3 Participation in UNCAR	●	●		●	●	●	●	●	●	●		●		●	●	●	●	●	●	●	●
	4 Circulation of UNCAR Submissions	●			●					●	●		●		●		●			●	●	
Matrix 1	5 Regional Security Dialogues	○	●	●	○	●	○	●	●	●	○	●	●		●		○	●	○	●	○	
Matrix 2	6 High Level Defence Contacts	●	●	●	○	●		○	●	○	○	○	●	●	●		●	●	●	●	●	○
Matrix 3	7 Defence Training/ Exchanges	●	●		○	○		○	●	●	●	●	●	●	○		○	●	○	●	●	●
Table 2	8 Participation in disarmament/ non-proliferation regimes	●	○	○	●	○	○	○	●	●	○	○	●	●	○	○	●	○	○	●	○	●

CBMs 1 - 4

- Yes
- in preparation

CBMs 5 - 7

- with 15-19 ARF members
- with 8-14 ARF members
- with 1-7 ARF members

CBM 8 State Party to:

- 5-6 disarmament/non-proliferation regimes
- 3-4 regimes
- 1-2 regimes

ARF agreed CBMs: Provision of Information

	Defence Policy Statement to ARF/ SOM/ISG	Publication of Defence White Paper or similar Paper	Submissions to UN Conventional Arms Register	Information provided to UNCAR and circulated to ARF members
Australia	1995, 1996, 1997	1994	1995, 1996, 1997	1996, 1997
Brunei			1996, 1997	
Cambodia	1996, 1997			
Canada	1995, 1996, 1997	1994, 1996, 1997	1995, 1996, 1997	1996, 1997
PRC	1996, 1997	1995	1993, 1994, 1995, 1996, 1997	
EU			All EU members: 1995-97	
India			1995, 1996	
Indonesia		1997	1995, 1996	
Japan	1995, 1996, 1997	1994, 1995, 1996, 1997	1994, 1995, 1996, 1997	1996, 1997*
ROK	1995, 1996	1994, 1995, 1996, 1997	1994, 1995, 1996, 1997	1996, 1997
Laos				
Malaysia	1998	1998	1995, 1996, 1997	1997
Myanmar	1998			
NZ	1995, 1996, 1997	1995, 1996, 1997	1995, 1996, 1997	1995, 1996, 1997
PNG				
Philippines		1998	1993, 1994, 1995, 1996	1996
Russia		1997	1997	
Singapore	1996, 1997	1990, 1992, 1994	1993, 1994, 1995, 1996, 1997	
Thailand	1995, 1996, 1997	1994, 1996	1995, 1996, 1997	1996, 1997**
USA			1993, 1994, 1995, 1996, 1997	1996, 1997
Vietnam	1995, 1996, 1997		1995, 1996, 1997	

*Japan: UNCAR data submitted to ARF SOM

**Thailand: UNCAR data circulated through the Permanent Mission of Thailand to the United Nations in New York to respective Permanent Missions of ARF participants.

ARF agreed CBMs: Bilateral Regional Security Dialogues (for exchanging strategic/security perceptions)

	Australia 1	Brunei	Cambodia	Canada	PRC 2	EU	India	Indonesia	Japan 3	ROK	Laos	Malaysia 4	Myanmar	NZ	PNG	Philippines 5	Russia	Singapore	Thailand 6	Vietnam	USA 7
Australia	■			■	■		■	■	■	■		■		■		■		■	■		■
Brunei		■	■																		■
Cambodia			■								■						■		■		
Canada				■	■				■	■											■
PRC	■			■	■	■			■	■									■		■
EU				■		■	■	■	■			■									
India			■				■	■													
Indonesia	■							■	■							■		■	■		■
Japan	■			■	■		■	■	■	■											■
ROK										■											■
Laos					■				■		■										■
Malaysia	■	■	■				■	■				■				■					■
Myanmar													■	■							
NZ				■										■	■						
PNG															■	■					
Philippines									■	■						■	■				■
Russia				■	■		■		■	■	■						■	■			■
Singapore																		■	■	■	
Thailand	■		■						■	■	■								■	■	■
USA		■		■	■		■		■	■		■				■		■		■	■
Vietnam																				■	■

■ Political-Military/Regional Security Dialogues (Defence & MFA combined)

■ Military-Military/Defence-Defence Dialogues (Defence)

□ Regional Security Dialogues (MFA)

1 Australia: EU (UK & Germany only)

2 PRC: EU (UK & France only)

3 Japan: EU (UK, France & Germany only)

4 Malaysia: Regional Security Dialogues (MFA):

ASEAN bilateral meetings with dialogue partners.

5 Philippines: EU (France only)

6 Thailand: Japan & Thailand have agreed in principle to hold Pol-Mil regional security dialogue.

Dates and venues are yet to be agreed upon.

7 US dialogues are not generally strategic-level exchanges.

EU entry represents US-NATO exchanges.

US: Mil-Mil/Def-Def entry represents ongoing military interactions in addition to formal dialogues.

ARF agreed CBMs: High Level Defence Contacts since 1995
(Visits/Contacts in the host country with another ARF member)

	Host																				Host
	Australia	Brunei	Cambodia	Canada	PRC	EU 1	India	Indonesia	Japan	ROK	Laos	Malaysia	Myanmar	NZ	PNG	Philippines	Russia	Singapore 2	Thailand	Vietnam	USA 3
Australia																					
Brunei																					
Cambodia																					
Canada																					
PRC																					
EU																					
India																					
Indonesia																					
Japan																					
ROK																					
Laos																					
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Philippines																					
Russia																					
Singapore																					
Thailand																					
USA																					
Vietnam																					

	Defence Minister
	Chief of Defence Forces and/or Secretary (Vice Minister) Defence Department
	Senior Officer (1-3 Star level) contacts

	3 USA entries reflect:
	High level Department of Defense contacts
	High level Joint Staff contacts
	High level military services contacts

1 The EU does not engage in defence or military activities, though some member states have bilateral defence training/exchanges with some ARF members.

2 Singapore: EU (Finland, Holland, Italy, Sweden, Switzerland, UK only)

ARF agreed CBMs: Defence Training/Exchanges since 1995
(activities conducted in the host country with another ARF member)

	Host																				Host
	Australia	Brunei	Cambodia	Canada	PRC	EU 1	India	Indonesia	Japan	ROK	Laos	Malaysia	Myanmar	NZ	PNG	Philippines	Russia	Singapore 2	Thailand	Vietnam	USA 3
Australia																					
Brunei																					
Cambodia																					
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NZ																					
PNG																					
Philippines																					
Russia																					
Singapore																					
Thailand																					
USA																					
Vietnam																					

Unit/sub-unit training (military)

Officer training at staff colleges (military and civilian)

Other staff training and exchanges (military and civilian)

3 US entry represents undifferentiated education and training exchanges at all levels during the reporting period

1 The EU does not engage in defence or military activities, though some member states have bilateral defence training/exchanges with some ARF members.

2 Singapore: EU (Sweden & UK only)

ARF agreed CBMs: Participation in Global Arms Control and Disarmament Regimes *

Country	NPT signed	NPT ratified/ acceded	CTBT signed ¹	CTBT ratified	CWC signed	CWC ratified ²	CCW signed	CCW ratified/ acceded	CCW Amended Protocol2: Landmines	BWC signed	BWC ratified/ acceded
Australia	27Feb70	23Jan73	24Sep96		13-15Jan 93	6May94	8Apr82	29Sep83	22Sep97	10Apr72	5Oct77
Brunei		25Mar85	22Jan97		13-15Jan 93	28Jul97					31Jan91
Cambodia		2Jun72	26Sep96		13-15Jan 93			25Mar97	25Mar97	10Apr72	9Mar83
Canada	23/29Jul 68	8Jan69	24Sep96		13-15Jan 93	26Sep95	10Apr81	24Jun94	31Dec97	10Apr72	18Sep72
PRC		10Mar92	24Sep96		13-15Jan 93	25Apr97	14Sep81	7Apr82			15Nov84
EU	All EU	All EU	All EU		All EU	All EU	All EU	All EU	5 EU ³	All EU	All EU
India					13-15Jan 93	3Sep96	15May81	1Mar84		15Jan73	15Jul74
Indonesia	2Mar70	12Jul79	24Sep96		13Jan93					20Jun72	18Dec91
Japan	3Feb70	8Jun76	24Sep96	8Jul97	13-15Jan 93	15Sep95	22Sep81	9Jun82	10Jun97	10Apr72	8Jun82
ROK	1Jul68	23Apr75	24Sep96		13-15Jan 93	28Apr97				10Apr72	25Jun87
Laos	1Jul68	20Feb70	30Jul97		13May93	25Feb97		3Jan83		10Apr72	22Mar73
Malaysia	1Jul68	5Mar70			13-15Jan 93					10Apr72	26Sep91
Myanmar		2Dec92	25Nov96		13-15Jan 93					10Apr72	
NZ	1Jul68	10Sep69	27Sep96		13-15Jan 93	15Jul96	10Apr81	18Oct93		10Apr72	13Dec72
PNG		25Jan82	25Sep96		13-15Jan 93	17Apr96					16Mar81
Philippines	1/18Jul 68	5Oct72	24Sep96		13-15Jan 93	11Dec96	15May81	15Jul96	12Jun97	10Apr72	21May73
Russia	1Jul68	5Mar70	24Sep96		13-15Jan 93	5Nov97	10Apr81	10Jun82		10Apr72	26Mar75
Singapore	5Feb70	20Mar76			13-15Jan 93	21May97				19Jun72	2Dec75
Thailand		7Dec72	12Nov96		13-15Jan 93					17Jan73	28May75
USA	1Jul68	5Mar70	24Sep96		13-15Jan 93	25Apr97	8Apr82	24 Mar995		10Apr72	26Mar75
Vietnam		14Jun82	24Sep96		13-15Jan 93		10Apr81				20Jun80

* Text in Chairman's Statements: ARF3, para 10 (A.v.d); ARF4, Annex C, 1.7

1 Signing Ceremony, New York, 24 September 1996

2 Signing Conference, Paris, 13-15 January 1993

3 The 5 EU state parties to CCW Protocol II are: Denmark, Germany, Ireland, Spain and Sweden

Distillation of Agreed CBMS from ARF 1-4

Substantive CBMs

Implemented

1. Develop exchanges on security perceptions on a sub-regional and regional basis (ARF 2 para 8(i)); continue dialogue on security perceptions within the ARF process including intersessional meetings (ARF 3 para 10.A(i)a.) and at ISG on CBMs (ARF 4 Annex C para 1.1.2)
2. Increase exchanges amongst national defence colleges and to this end convene meetings of the heads of National Defence Colleges (ARF 3 para 10 A. (iii) c)
3. Convene an intersessional meeting on the role of defence authorities in disaster relief (ARF 3 para 10 A.(v) b)
4. Exchange information on a voluntary basis on ongoing observer participation in and notification of military exercises (ARF 3 para 10 A. (v) c)

Partially Implemented

5. Develop exchanges on security perceptions on a bilateral basis (ARF 2 para 8(i))
Matrix 1
6. Increase high level defence contacts and military exchanges/training (ARF 2 para 8 iii; ARF 4 Annex C 1.3) **Matrices 2 and 3**
7. Submit to the ARF or ARF SOM on a voluntary basis an annual defence policy statement (ARF 2 para 8 (ii); ARF 3 para 10 A. (ii) a; ARF 4 Annex C 1.3) **Table 1**
8. Publish Defence White Papers or similar papers on a voluntary basis (ARF 3 para 10 A.(ii) a) **Table 1**
9. Full participation in UN Conventional Arms Register by ARF participants (ARF 1 para 7 (d); ARF 2 para 8 (iv); ARF 3 para 10 A. (iv); ARF 4 Annex C 1.6) **Table 1**
10. Circulate submissions to UNCAR to other ARF participants at time of UN submission on a voluntary basis (ARF 3 para 10 A. (iv)b) **Table 1**
11. Sign and ratify global non-proliferation and disarmament regimes including NPT, CTBT, CWC, BWC, and CCW (ARF 3 para 10 A. (v) d; ARF 4 Annex C 1.7) **Table 2**
12. Exchange views on the contents of annual defence policy or defence white papers (ARF 3 para 10 A.(ii) b; ARF 4 Annex C 1.3) **on Sydney agenda**
13. Exchange views on defence conversion programs on a voluntary basis (ARF 4 Annex C 1.4) **on Sydney agenda**

Organisational CBMs

Implemented

14. Complete and update a list of ARF contact points (ARF 3 para 10 A.(v) a; ARF 4 Annex C 1.9.2)
15. Circulate compilation of papers submitted to ISG on CBMs (ARF 4 Annex C 2)

Partially Implemented

16. Submit papers on defence contacts and other defence exchange programs to the ARF SOM (ARF 3 para 10 A (iii) b; ARF 4 Annex C 1.3) and on other dialogues (ARF 3 para 10.A. (i)b.)
17. Increase defence participation in ARF intersessional activities(ARF 3 para 10 A. (iii)a